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Scientific Review and Clinical Applications | June 19, 2002 Clinician's Corner

# Vitamins for Chronic Disease Prevention in Adults Scientific Review <mark>FREE</mark>

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# ABSTRACT

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**Context** Although vitamin deficiency is encountered infrequently in developed countries, inadequate intake of several vitamins is associated with chronic disease.

**Objective** To review the clinically important vitamins with regard to their biological effects, food sources, deficiency syndromes, potential for toxicity, and relationship to chronic disease.

**Data Sources and Study Selection** We searched MEDLINE for English-language articles about vitamins in relation to chronic diseases and their references published from 1966 through January 11, 2002.

**Data Extraction** We reviewed articles jointly for the most clinically important information, emphasizing randomized trials where available.

**Data Synthesis** Our review of 9 vitamins showed that elderly people, vegans, alcohol-dependent individuals, and patients with malabsorption are at higher risk of inadequate intake or absorption of several vitamins. Excessive doses of vitamin A during early pregnancy and fat-soluble vitamins taken anytime may result in adverse outcomes. Inadequate folate status is associated with neural tube defect and some cancers. Folate and vitamins B<sub>6</sub> and B<sub>12</sub> are required for homocysteine

metabolism and are associated with coronary heart disease risk. Vitamin E and lycopene may decrease the risk of prostate cancer. Vitamin D is associated with decreased occurrence of fractures when taken with calcium.

**Conclusions** Some groups of patients are at higher risk for vitamin deficiency and suboptimal vitamin status. Many physicians may be unaware of common food sources of vitamins or unsure which vitamins they should recommend for their patients. Vitamin excess is possible with supplementation, particularly for fat-soluble vitamins. Inadequate intake of several vitamins has been linked to chronic diseases, including coronary heart disease, cancer, and osteoporosis.

Vitamins are organic compounds that cannot be synthesized by humans and therefore must be ingested to prevent metabolic disorders. Although classic vitamin deficiency syndromes such as scurvy, beriberi, and pellagra are now uncommon in Western societies, specific clinical subgroups remain at risk (<u>Table 1</u>). For example, elderly patients are particularly at risk for vitamins B<sub>12</sub> and D deficiency, alcohol-dependent individuals are at risk for folate, B<sub>6</sub>, B<sub>12</sub>, and thiamin deficiency, and hospitalized patients are at risk for deficiencies of folate and other water-soluble vitamins. Inadequate intake or subtle deficiencies in several vitamins are risk factors for chronic diseases such as cardiovascular disease, cancer, and osteoporosis. In addition, pregnancy or alcohol use may increase vitamin requirements. At least 30% of US residents use vitamin supplements regularly, suggesting that physicians need to be informed about available preparations and prepared to counsel patients in this regard.<sup>1</sup> At a minimum, patients should be queried about their usual diet and use of vitamin supplements.

# **Table 1.** Clinical Situations in Which VitaminDeficiency Syndromes Occur

Mechanism	Examples
Poor intake	Food faddism, elderly populations, malabsorption
	parenteral nutrition
Abnormal losses	Hemodialysis
Abnormal metabolism	Genetic polymorphisms, alcoholism (mixed with poor intake)
Inadequate synthesis	Vitamin D (northern climates)

We searched MEDLINE for English-language articles published from 1966 through January 11, 2002, about vitamins, vitamin deficiencies and toxicity, and specific vitamins in relation to chronic diseases. We paid specific attention to cardiovascular disease, common cancers (lung, colon, breast, and prostate), neural tube defect, and osteoporosis. We reviewed reference lists from retrieved articles for additional pertinent information. The coauthors reviewed the references jointly and attempted to synthesize the material, placing emphasis on randomized trial data where available. Table 2 summarizes the cohort and randomized trial data for the most important vitamin-disease relationships. We reviewed the 9 vitamins that are especially central in the preventive care of adults: folate, vitamins B<sub>6</sub> and B<sub>12</sub>, vitamin D, vitamin E, the provitamin A carotenoids, vitamin A, vitamin C, and vitamin K. We did not include thiamin (vitamin B<sub>1</sub>) or riboflavin (B<sub>2</sub>), because of little evidence of their relationship to chronic disease. We include the carotenoid lycopene, although it does not have provitamin A activity and is therefore not a true vitamin. Similarly, vitamin D is not a true vitamin because it can be synthesized by humans, but for the sake of simplicity we use the term *vitamin* to refer to these compounds.

#### Table 2. Summary of Cohort Studies and Randomized Trials of Major Vitamin-Disease Relationships

	Evidence	Summary Points
B Vitamins and CHD	Cohort studies	Low serum folate was associated with increased risk of CHD in a retrospective cohort study. <sup>2</sup> In a large prospective cohort, higher dietary intake of folate and vitamin $B_6$ was associated with decreased risk of CHD. <sup>3</sup>
	Meta-analysis	Folate lowers plasma homocysteine levels by approximately 25%, and addition of $B_{12}$ lowers it another 7%, but addition of $B_8$ resulted in no further reduction. <sup>4</sup>
	Additional new clinical trials of B vitamins	Several large clinical trials of folate, $B_{\rm 6}$ , and $B_{\rm 12}$ in relation to CHD are under way. $^{\rm 5,6}$
Folate and colorectal cancer	Cohort studies	Separate studies of men and women using multivitamins with folate for >10-15 years observed reductions in colon cancer risk. <sup>7,8</sup> Another prospective study found colon cancer risk reductions associated with higher dietary folate in men but not women. <sup>9</sup>
Folate and breast cancer	Cohort studies	Higher folate consumption was associated with decreased breast cancer risk among women consuming alcohol regularly but not among nondrinkers in 3 cohort studies. <sup>10-12</sup>
Folate and neural tube defect	Cohort study	Women consuming a multivitamin with folate during the first 6 weeks of pregnancy were at decreased risk of having a neonate with neural tube defect. <sup>13</sup>
	Randomized trials	Folate supplementation decreased the risk of first <sup>14</sup> and recurrent <sup>15</sup> neural tube defects.
Vitamin E and CHD	Cohort studies	Vitamin E is an antioxidant and affects smooth muscle proliferation and platelet adhesion. Numerous cohort studies showed reduction in CHD risk with higher vitamin E intake. <sup>10,17</sup>
	Randomized trials	Three of 4 randomized controlled trials showed no benefit of vitamin E supplementation on CHD risk. <sup>18-21</sup> In another study, vitamin E reduced the risk of nonfatal myocardial infarctions but not cardiovascular mortality. <sup>22</sup>
Vitamin E and prostate cancer	Cohort studies	Two prospective studies showed decreased prostate cancer risk with increased vitamin E intake, particularly among smokers. <sup>23,24</sup>
	Randomized trial	In the Alpha-Tocopherol Beta-Carotene trial, $\alpha$ -tocopherol supplementation decreased prostate cancer incidence and mortality. <sup>25</sup>
Carotenoids and CHD	Cohort studies	Prospective studies have failed to show an association between carotenoid intake and CHD. <sup>26,27</sup>
	Randomized trials	Beta carotene did not reduce coronary heart disease risk in 5 primary prevention trials. <sup>25,28-31</sup> Two studies suggested increased mortality among smokers supplemented with beta carotene. <sup>18,19</sup>
Carotenoids and lung cancer	Cohort studies	Multiple studies showed inverse associations between beta carotene and lung cancer. <sup>77</sup> Three newer cohort studies have shown inverse associations between alpha carotene (but not beta carotene) and lung cancer. <sup>32-34</sup>
	Randomized trials	Two large randomized placebo-controlled trials found increased lung cancer risk among male smokers receiving beta carotene. <sup>25,28</sup> Three other intervention trials reported no increase in risk but included few smokers. <sup>29,30,35</sup>
Carotenoids and prostate cancer	Cohort studies	Beta carotene was not associated with prostate cancer in several prospective studies. <sup>36</sup> Several prospective studies have found decreased risk of prostate cancer associated with increased lycopene intake. <sup>36-39</sup> Serum studies have been mixed. <sup>23,40,41</sup>
	Randomized trials	One intervention study showed increased prostate cancer incidence and mortality in the group receiving beta carotene <sup>25</sup> and 2 showed no association. <sup>28,30</sup>
Vitamin D and bone mass	Cohort studies, randomized trials	Inadequate vitamin D status is a common problem. <sup>42,43</sup> Vitamin D supplementation decreases bone turnover and increases bone mineral density. <sup>42,44</sup> Supplementation with vitamin D and calcium decreases bone loss and fracture rates in elderly people. <sup>45</sup> It remains unclear whether vitamin D alone decreases fracture rates or whether supplemental calcium is required.
*CHD indicates coronary heart disease.		

Current recommendations are expressed as daily values, a new dietary reference term that is made up of reference daily intakes (RDIs) for vitamins and minerals, which has replaced US recommended daily allowance, and daily reference values for fats, protein, fiber, sodium, and potassium.<sup>46</sup> Table 3 summarizes the RDIs for vitamins.

# **Table 3.** Current Reference Daily Intakes forVitamins

Vitamin	Daily Value
Vitamin A	1500 µg/L (5000 IU)
Vitamin C	60 mg
Vitamin D	10 µg/L (400 IU)
Vitamin E	20 mg (30 IU)
Vitamin K	80 µg/L
Vitamin B <sub>6</sub>	2 mg
Vitamin B <sub>12</sub>	6 µg/L
Folate	400 µg/L
Thiamin	1.5 mg
Riboflavin	1.7 mg
Niacin	20 mg

# FOLATE, VITAMIN B

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Folate and vitamins B<sub>6</sub> and B<sub>12</sub> are discussed together in relation to coronary heart disease (CHD) because of their joint

effects on homocysteine. Elevated plasma total homocysteine level is a major risk factor for coronary disease. 5.,47 - 48. People with the highest homocysteine levels have an approximate 2-fold increase in risk of CHD compared with those with the lowest levels, similar to the increase in risk associated with cigarette smoking or hypercholesterolemia. This effect is independent of other known risk factors. 47

### Folate

Folate (other interchangeable terms include folic acid and folacin) is a water-soluble B vitamin that is necessary in forming coenzymes for purine and pyrimidine synthesis, erythropoiesis, and methionine regeneration.  $\frac{49}{10}$  The current RDI for folate is 400 µg. The richest food sources of folate are dark-green leafy vegetables, whole-grain cereals, fortified grain products, and animal products. Since 1996 in the United States, all flour and uncooked cereal grains have been supplemented with 140 µg of folate per 100 g of flour. This practice increases plasma folate levels among nonusers of vitamin supplements from about 4.6 to 10.0 ng/mL in the general population.  $\frac{50}{12}$  Higher levels were not chosen because of concern about masking  $B_{12}$  deficiency: by treating anemia that might otherwise cause symptoms leading to diagnosis of  $B_{12}$  deficiency, neurologic symptoms might progress. We are unaware of reports of folate toxicity. Folate deficiency, generally caused by poor intake or alcoholism, is marked by a macrocytic anemia, and suboptimal folate intake causes fetal neural tube defects. More

recently, interest in the scientific community has turned to the role of folate in CHD and cancer.

### Vitamin B

Vitamin  $B_6$  refers to a group of nitrogen-containing compounds with 3 primary forms: pyridoxine, pyridoxal, and pyridoxamine. They are water soluble and are found in a variety of plant and animal products. The current RDI for vitamin  $B_6$  is 2 mg. The best dietary sources include poultry, fish, meat, legumes, nuts, potatoes, and whole grains.<sup>51</sup> Vitamin  $B_6$  participates in more than 100 enzymatic reactions and is needed for protein metabolism, conversion of tryptophan to niacin, and neurotransmitter formation, among other functions. Deficiency is uncommon, although marginal  $B_6$  status may be related to CHD. True deficiency results in cheilosis, stomatitis, effects on the central nervous system (including depression), and neuropathy. Toxicity is unusual and has been associated with neurotoxicity and photosensitivity with doses higher than 500 mg/d.<sup>49</sup>

### Vitamin B

Vitamin  $B_{12}$  (cyanocobalamin) is water soluble and found in animal products only (meat, poultry, fish, eggs, and milk). The current RDI for vitamin  $B_{12}$  is 6 µg. It acts as a coenzyme for fat and carbohydrate metabolism, protein synthesis, and hematopoiesis. Deficiency can result from poor intake, including strict veganism, throughout a period of several years or malabsorption from absence of intrinsic factor, from gastric or ileal disease, and among elderly individuals in general.<sup>52</sup> Vitamin  $B_{12}$  deficiency results in a macrocytic anemia and neurologic abnormalities: loss of proprioception and vibration sense. There is no determined upper limit for vitamin  $B_{12}$  intake because there are no consistent adverse effects of high intake.

# **B VITAMINS AND CHD**

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Many studies have reported increased risk of CHD or ischemic stroke associated with low folate intake or low blood folate levels.  $\frac{5}{5}$  Folate, along with vitamins B<sub>6</sub> and B<sub>12</sub>, is required for the metabolism of homocysteine to methionine. Folate

appears to be the critical vitamin in determining plasma homocysteine levels.  $\frac{53}{54}$  In a meta-analysis,  $\frac{4}{5}$  folate lowered plasma homocysteine levels by 25%, and addition of B<sub>12</sub> lowered homocysteine another 7%, but addition of B<sub>6</sub> did not result in further reductions. A recent report found that folate at 800 µg/d was necessary to minimize homocysteine levels (to 2.7 µmol/L [0.37 mg/L], similar to the effects of folate at 1000 µg/d).  $\frac{55}{54}$  Although low serum folate levels have a central role in the pathogenesis of hyperhomocysteinemia, whether folate has direct effects on CHD development remains unclear. Observational studies have consistently shown that elevated homocysteine levels are a risk factor for cardiovascular disease. In a study of elderly patients, mean homocysteine concentrations were significantly higher in participants in the lowest 2 deciles of plasma folate concentration. Serum B<sub>6</sub> and B<sub>12</sub> levels were also inversely associated with homocysteine

levels, but this relationship was weaker than for folate.<sup>56</sup> A smaller study<sup>57</sup> showed similar results.

- Low serum folate levels were associated with increased risk of CHD in a retrospective Canadian cohort<sup>2</sup> and a large casecontrol study.  $\frac{58}{50}$  Similarly, higher dietary intakes of folate and vitamin B<sub>6</sub> are associated with decreased risk of CHD.  $\frac{3}{50}$
- Several large clinical trials of folate, B<sub>6</sub>, and B<sub>12</sub> are under way and will likely clarify the relationships of these vitamins to
- coronary disease. 5 6 Since the existing evidence is entirely from observational research, it should be viewed with caution until randomized trial results become available.

Most multivitamins provide 400  $\mu$ g of folate (100% of the current RDI), 3  $\mu$ g of vitamin B<sub>6</sub> (150% of the RDI), and 9  $\mu$ g of vitamin B<sub>12</sub> (150% of the RDI). Until results of trials provide more specific information on vitamin doses required to minimize homocysteine levels, recommending a daily multivitamin for most adults may be the most prudent approach. For patients with premature CHD or a family history of premature CHD, either testing for hyperhomocysteinemia or recommending folate at 800  $\mu$ g/d is appropriate.

# FOLATE AND CANCER

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Folate deficiency may contribute to aberrant DNA synthesis and carcinogenesis by decreasing methionine availability and interfering with normal DNA methylation. Recently, interest has grown in the effects of folate supplementation in cancer prevention.<sup>59</sup> Higher dietary folate intake appears to reduce the risk of colon and breast cancer, particularly among moderate consumers of alcohol.

### **Colorectal Cancer**

In the Health Professionals Follow-up Study,<sup>7</sup>men who reported folate ingestion from multivitamins for longer than 10 years had a 25% reduction in colon cancer risk, which increased among moderate alcohol users with low intakes of folate or methionine. The Nurses' Health Study<sup>8</sup> found similar effects for women: those reporting 15 or more years of multivitamin use (with folate) had a 75% reduction in colorectal cancer risk. A recent report from the National Health and Nutrition Examination Survey I (NHANES I)<sup>9</sup> found a statistically significant 60% risk reduction in colon cancer in men and a similar nonsignificant effect in women. Men who used alcohol and consumed diets low in folate and methionine were at highest risk for colon cancer.

A common functional polymorphism in the gene for methylenetetrahydrofolate reductase (*MTHFR*, a major enzyme involved in folate metabolism) is associated with an increased risk of colorectal cancer. Dietary folate and methionine intake modify colorectal cancer risk in people with *MTHFR* polymorphisms.<sup>60 - 61</sup>

### Breast Cancer

Higher folate intake may also reduce breast cancer risk, although possibly only among women who have low folate levels and consume alcohol. Several groups have reported inverse associations between folate consumption and breast cancer risk. It appears that higher intake of folate lowers the excess breast cancer risk associated with alcohol use.<sup>10 - 12</sup> For example, among Nurses' Health Study participants who used alcohol, multivitamin users had a 25% reduction in breast cancer risk.<sup>10</sup>

Colon and breast cancers are among the most common cancers in Western societies, so folate's potential for helping to prevent these cancers is important. The evidence supporting the protective role of folate for colon and breast cancers is moderately strong but not based on randomized trials. The interaction between alcohol use and folate intake is likely to prove substantial. Subgroups of the population with *MTHFR* polymorphisms may also have higher folate requirements.

### Neural Tube Defects

Folate is necessary for embryogenesis, and supplementation reduces the risk of neural tube defects. Multiple observational studies have demonstrated this, <sup>13</sup>, <u>62</u> - <u>66</u> as well as 1 nonrandomized trial<sup><u>67</sub></sup> and 2 randomized trials. <sup>14</sup> - <u>15</u> Folate supplementation decreases the risk of first occurrence of neural tube defect <sup>14</sup> and recurrent defects in women with a previously affected pregnancy. <sup>15</sup> A recent review suggested that doses well above the current RDI of 400 µg are necessary to maximally reduce the risk of neural tube defects. <sup>68</sup> Because the neural tube closes within 3 weeks of conception (before most women know they are pregnant), supplementing all women who might become pregnant with folate at 800 µg/d is the best way of preventing this birth defect.</sup></u>

### VITAMIN E

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Vitamin E is fat soluble and composed of a family of 8 related compounds, the tocopherols and the tocotrienols. The major chemical forms of vitamin E (based on the location of a methyl group) are the tocopherols  $\alpha$ ,  $\beta$ ,  $\Delta$ , and  $\gamma$ .  $\alpha$ -Tocopherol is

the most abundant form in foods and is generally the form used in supplements. However, there is at least some concern<sup>69</sup> that preferential appearance of  $\alpha$ -tocopherol in the plasma may displace  $\gamma$ -tocopherol in those taking supplements. Both  $\alpha$ -and  $\gamma$ -tocopherol may be associated with prostate cancer reduction.

Vitamin E, like other antioxidants, can scavenge free radicals and may, as a result, prevent oxidative damage to lipid membranes and low-density lipoprotein (LDL). Vitamin E is also needed in immune function, and supplementation enhances cell-mediated immunity in elderly patients.<sup>70</sup> The current RDI for vitamin E is 20 mg (30 IU). Major dietary sources of vitamin E include salad oils, margarine, legumes, and nuts.<sup>71</sup> In people who take supplements (approximately 1 in 3 people), however, the greatest contributor to total intake is supplements. Vitamin E deficiency is rare and is seen primarily in special situations resulting in fat malabsorption, including cystic fibrosis, chronic cholestatic liver disease, abetalipoproteinemia, and short bowel syndrome. Clinical manifestations of vitamin E deficiency include muscle weakness, ataxia, and hemolysis. In adults, 200 to 800 mg/d is generally tolerated without adverse effects, with the exception of gastrointestinal upset. With doses of 800 to 1200 mg/d, antiplatelet effects and bleeding may occur. Doses higher than 1200 mg/d may result in headache, fatigue, nausea, diarrhea, cramping, weakness, blurred vision, and gonadal dysfunction.<sup>49</sup>

### **Coronary Heart Disease**

Vitamin E is postulated to prevent atherosclerotic disease not only by its antioxidant effects, but also by inhibitory effects upon smooth muscle proliferation<sup>72</sup> and platelet adhesion.<sup>73</sup> Observational studies have reported that vitamin E is a protective factor for CHD. The Nurses' Health Study<sup>16</sup> found that women taking vitamin E at more than 67 mg/d (100 IU, or about 20 times the amount in a usual Western diet) had a 44% reduction in major coronary disease. Women who took vitamin E supplements for more than 2 years accounted for the majority of this observed risk reduction. Dietary intake of vitamin E alone, as opposed to supplements, had no impact on the risk of CHD. Similar results were noted in a cohort of men, with protective effects limited to those consuming doses of at least 67 mg/d (100 IU).<sup>17</sup>

Unfortunately, clinical trials have not found that vitamin E supplementation, even in high doses and high-risk patients, protects against CHD. Three of 4 large clinical trials<sup>18 - 21</sup> examining the effect of vitamin E supplementation in patients with higher risk or preexisting CHD, with varying dose and duration, failed to show a benefit. In the Cambridge Heart Antioxidant Study (CHAOS),<sup>22</sup>  $\alpha$ -tocopherol at 267 to 533 mg/d (400-800 IU) reduced the 1-year rate of nonfatal myocardial infarctions among patients with known CHD by 80% but caused no reduction in cardiovascular mortality. The use of vitamin E saved \$578 for each patient throughout a 3-year period, largely because of a reduction in hospital admissions for myocardial infarction.<sup>74</sup> In the Alpha-Tocopherol Beta-Carotene (ATBC) trial,<sup>18</sup> the largest such trial completed, no association was observed between vitamin E at 50 mg/d (75 IU) and CHD mortality or angina.<sup>19</sup> Two recent large randomized trials in high-risk patients showed no difference between vitamin E and placebo on cardiovascular events.<sup>20 - 21</sup> The larger trial used 267 mg (400 IU) of vitamin E and included follow-up for an average of 4.5 years.<sup>20</sup> One recent trial of vitamin E at 533 mg/d (800 IU) in dialysis patients showed reduced risk of cardiovascular events, including myocardial infarction.<sup>75</sup>

Overall, there is strong evidence that vitamin E does not substantially decrease cardiovascular mortality, at least when taken throughout a period of a few years by patients with known coronary artery disease or who are at high risk. However, the observational studies showing a protective effect of vitamin E were all among lower-risk populations, and there are no trial data from similar populations. Vitamin E may still be useful in primary prevention when taken throughout long periods. In addition, some subgroups, including patients receiving dialysis, may benefit from supplementation.

### Prostate Cancer

Although the relationship between vitamin E and the major cancers (breast, lung, prostate, and colon) has been evaluated in many studies, the weight of evidence does not support a strong association, with the exception of prostate cancer. There is evidence that  $\alpha$ -tocopherol may decrease prostate cancer risk among smokers. In the ATBC trial, in which the participants were all male smokers,  $\alpha$ -tocopherol supplementation decreased prostate cancer incidence and mortality.<sup>25</sup> Two other studies supported an association between vitamin E and decreased prostate cancer risk,<sup>23</sup> particularly among smokers.<sup>24</sup>

Studies of vitamin E in plasma and prostate cancer have been mixed. Two older serum studies of  $\alpha$ -tocopherol showed no association,  $\frac{40-41}{2}$  but a recent plasma study reported inverse relationships for  $\alpha$ - and  $\gamma$ -tocopherol.  $\frac{76}{2}$  Although few other studies have examined the relationship between  $\gamma$ -tocopherol and prostate cancer, 2 studies showed no association  $\frac{23}{2}$  or a modest reduction in risk.  $\frac{41}{2}$ 

The state of the evidence suggests a possible reduction in prostate cancer risk with  $\alpha$ -tocopherol supplements, which may be limited to smokers. The paucity of evidence, in addition to concerns over which form is more likely to have clinical effects, suggests that making recommendations for supplementation is premature.

# **CAROTENOIDS**

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Carotenoids are a class of yellow, orange, and red plant-derived compounds. All of the more than 600 known carotenoids are antioxidants, and approximately 50 are vitamins because they have provitamin A activity. Vitamin A refers to preformed retinol and the carotenoids that are converted to retinol by cleavage of a central bond. There is no known deficiency state for carotenoids themselves and no RDI. Carotenoid toxicity includes carotenodermia (yellowing of the skin) and, rarely, diarrhea or arthralgias. Beta carotene has historically received the most attention of the carotenoids because of its provitamin A activity and prevalence in many foods. Two other carotenoids with provitamin A activity, alpha carotene and beta cryptoxanthin, are prevalent in foods and contribute substantially to vitamin A intake. Other carotenoids without provitamin A activity that are relatively well studied because of their higher concentrations in serum include lycopene, lutein, and zeaxanthin.

It was proposed that beta carotene supplementation might prevent cardiovascular disease and cancer because of its antioxidant effects. After disappointing findings from several studies, other carotenoids are now the subject of more intensive investigation. Although much of the early evidence, particularly for cancer prevention, is derived from observational studies of dietary carotenoid intake, some caution must be used in interpreting the findings. Associations between diet and disease in observational studies may be due to the specific carotenoids, other vitamins or compounds in fruits and vegetables, or substitution for dietary meat and fat. Genetic predisposition, underlying nutritional status, smoking, and tissue-specific effects may be important.

### Cancer

Many studies have evaluated the relationships between carotenoid intake and cancer. The best evidence is for lung, colon, breast, and prostate cancers. Interest in carotenoids, specifically beta carotene, initially arose because of their antioxidant effects, but retinol and the provitamin A carotenoids may also decrease cancer risk via other mechanisms such as inducing cellular differentiation.

### Lung Cancer

Observational studies strongly supported an inverse relationship between beta carotene intake and lung cancer risk. A 1995 review reported inverse relationships for 13 of 14 case-control studies, all of 5 cohort studies of dietary beta carotene intake, and all of 7 studies on plasma levels.<sup>77</sup> Two large cohort studies<sup>32 - 33</sup> have also demonstrated inverse associations for alpha carotene. A recent report combined updated observational data from the Nurses' Health Study and the Health Professionals Follow-up Study and found significant risk reductions for lycopene and alpha carotene but nonsignificant risk reductions for beta carotene. This report also noted a 32% reduction in risk of lung cancer for people consuming a diet high in a variety of carotenoids.<sup>34</sup>

Two large randomized placebo-controlled trials, the ATBC study<sup>25</sup> and the Beta Carotene and Retinol Efficacy Trial study,<sup>28</sup> assessed the risk of lung cancer among male smokers or asbestos workers receiving beta carotene supplements. Both showed statistically significant increases in lung cancer risk among men who received the supplements. Additional analyses from the ATBC study showed that much of the increased risk was confined to the heaviest smokers (>20 cigarettes per day) and regular alcohol users.<sup>78</sup> Three other intervention trials reported no increase in risk.<sup>29 - 30,35</sup> These studies all included small proportions of smokers.

These findings provide strong support that, at least among smokers, beta carotene supplementation increases the risk of lung cancer. Alcohol use may modify this risk. Other carotenoids including alpha carotene or total carotenoid intake from foods may be associated with decreased risk of lung cancer, although this evidence remains weak.

### **Colorectal Cancer**

Five randomized trials have shown no reduction in colorectal cancer risk with beta carotene supplementation.<sup>25,28-29,35, 79</sup> However, 2 of these did find that among regular alcohol users, beta carotene supplements decreased colon cancer risk.<sup>79</sup> - <sup>80</sup> Supplementation among alcohol users may be more effective because their serum beta carotene levels appear to be lower.<sup>81-85</sup>

Overall, beta carotene supplementation does not appear to decrease colorectal cancer risk. Because regular users of alcohol have lower beta carotene levels, they may benefit from beta carotene supplements, although there is no strong evidence to support this.

### Prostate Cancer

The relationship between beta carotene and prostate cancer has been examined in observational studies and intervention trials. In the largest cohort study of this relationship, <sup>36</sup> beta carotene intake was not associated with prostate cancer risk, and results from other observational studies have been mixed. Several intervention trials have studied the effects of beta carotene supplementation on prostate cancer risk. In the ATBC study, prostate cancer incidence and mortality were increased in the beta carotene supplementation group.<sup>25</sup> However, the increased risk was limited to alcohol users, while nonusers had a 32% lower risk than the placebo group. In the Physicians' Health Study, beta carotene supplementation was not associated with prostate cancer risk overall.<sup>30</sup> However, in the men in the lowest quartile of serum beta carotene level at baseline, those assigned to beta carotene supplements had a 32% reduction in prostate cancer risk.<sup>85</sup> A third large intervention trial of beta carotene revealed no association with prostate cancer.<sup>28</sup>

More recently, investigators have reported on the relationship between the carotenoid lycopene and prostate cancer. Dietary lycopene comes primarily from tomato products, including tomato paste, juice, and sauce, but watermelon, pink grapefruit, and other fruits and vegetables also contribute to intake. Lycopene is not converted to vitamin A, and its effects may be due to its antioxidant activity.<sup>86</sup> Giovannucci et al<sup>36</sup> reported a reduction in prostate cancer risk among men with high lycopene consumption and those with high intakes of lycopene-rich foods, including tomatoes and tomato products. An earlier study among a smaller cohort of Seventh-Day Adventists<sup>37</sup> showed a reduced risk of prostate cancer associated with tomato intake, and 2 additional cohort studies have reported preliminary findings, with similar findings for tomato products.<sup>38 - 39</sup> Two of 3 studies of plasma or serum lycopene have provided further support for the hypothesis, reporting associations between higher lycopene levels and reductions in prostate cancer risk.<sup>23,40</sup> A third serum-based study<sup>41</sup> found no association but was limited by low serum lycopene levels. There have been no clinical trials of lycopene supplementation for prostate cancer prevention.

In summary, there is insufficient evidence to draw conclusions regarding the relationship between beta carotene and prostate cancer risk and some evidence of an increase in risk among alcohol users. Therefore, beta carotene supplementation for prostate cancer prevention should not be encouraged. The evidence for a protective effect for lycopene is more encouraging, although still inconclusive. Patients should not be encouraged to take lycopene supplements, since the current epidemiological evidence is based on dietary intake and may not reflect a direct benefit of lycopene itself.<sup>86</sup>

### Breast Cancer

Observational studies of carotenoids, mainly beta carotene, and breast cancer have produced mixed results. A comprehensive review of the literature in 1997<sup>87</sup> reported that the majority of studies, all observational, did not show reduced breast cancer risk with increased beta carotene consumption. Since that review, 4 cohort studies have all reported no association between dietary carotenoids and breast cancer.<sup>88-91</sup> A fifth cohort study found that premenopausal women, particularly those with a positive family history, have significant reductions in breast cancer risk with increasing dietary alpha and beta carotene, lutein/zeaxanthin, and total vitamin A intake.<sup>92</sup> Six studies of serum carotenoids that were nested within prospective cohorts have yielded mixed results. Results from 4 smaller studies showed no decrease in breast cancer risk with higher serum carotenoids.<sup>93-96</sup> In contrast, 2 larger serum studies found inverse relationships for beta cryptoxanthin, lycopene, and lutein/zeaxanthin.<sup>97-98</sup>

Although recent results from larger serum studies are encouraging, the epidemiological evidence linking carotenoids to breast cancer remains inconclusive. Women with higher serum carotenoids may have higher intake of other nutrients from fruits and vegetables as well, and the carotenoids themselves may not be the protective agents.

### **Coronary Heart Disease**

The antioxidant properties of the carotenoids have raised hope that they might prevent CHD, since oxidation of LDL, with subsequent uptake by foam cells in the endothelium, is a known contributor to the disease.<sup>99</sup> Also, beta carotene specifically is carried on LDL particles and can quench singlet oxygen.<sup>99</sup> Although case-control studies of the association between beta carotene and CHD have been mixed, findings from prospective studies have generally found no effect.<sup>26 - 27</sup>, <sup>100</sup> Similarly, beta carotene did not reduce CHD risk in 5 primary prevention studies.<sup>25 , 28 - 31</sup> More concerning, 2 studies suggested increased mortality among smokers taking beta carotene supplements.<sup>18 - 19</sup>.

Given the results from multiple trials, along with findings from observational studies, there is no reason to recommend beta

carotene supplementation for CHD prevention. There is no evidence to suggest a benefit among any subgroup of the population, and smokers may be at increased risk.

# VITAMIN D

AUTHOR INFORMATION | ABSTRACT | TABLE 1. CLINICAL SITUATIONS IN WHICH VITAMIN DEFICIENCY SYNDROMES OCCUR | TABLE 2. SUMMARY OF COHORT STUDIES AND RANDOMIZED TRIALS OF MAJOR VITAMIN-DISEASE RELATIONSHIPS | TABLE 3. CURRENT REFERENCE DAILY INTAKES FOR VITAMINS | FOLATE, VITAMIN B | B VITAMINS AND CHD | FOLATE AND CANCER | VITAMIN E | CAROTENOIDS | VITAMIN D | VITAMIN C | VITAMIN A | VITAMIN K | COMMENT | REFERENCES

Vitamin D (calciferol) is not a true vitamin, since humans are able to synthesize it with adequate sunlight exposure. Via photoconversion, 7-dehydrocholesterol becomes previtamin  $D_3$ , which is metabolized in the liver to 25-hydroxyvitamin  $D_3$ , the major circulating form of vitamin D. In the kidney, this is converted to 2 metabolites, the more active one being 1,25-dihydroxyvitamin  $D_3$ . The other metabolite, 24,25-dihydroxyvitamin  $D_3$  appears to have a physiological role as well but is less well studied.<sup>49</sup> For simplicity, we refer to 1,25-dihydroxyvitamin  $D_3$  as vitamin D. The current RDI for vitamin D is 0.01 mg (400 IU). Vitamin D may also be ingested in the diet in the form of vitamin  $D_3$ , a prohormone. Food sources

include fortified milk, saltwater fish, and fish-liver oil.

Vitamin D deficiency is associated with rickets in children. In adults, vitamin D deficiency leads to secondary hyperparathyroidism, bone loss, osteopenia, osteoporosis, and increased fracture risk.<sup>44</sup> Excessive supplement ingestion (>0.05 mg [2000 IU]) or ingestion by patients with normal renal function can result in toxicity, including soft-tissue calcification and hypercalcemia. Vitamin D acts as a steroid hormone, with effects on calcium absorption, phosphorous homeostasis, bone turnover, and multiple other tissues.

Inadequate vitamin D levels are more common than previously thought, particularly among housebound and elderly people. In a large international study of postmenopausal women, 4% were vitamin D deficient and another 24% had inadequate vitamin D status, as reflected in elevated serum parathyroid hormone levels.<sup>42</sup> In a study among medical inpatients, 57% were vitamin D deficient and 22% were considered severely deficient.<sup>43</sup> Vitamin D deficiency was correlated with poor intake, winter, and being housebound. Another study showed that 50% of a group of postmenopausal women admitted with hip fractures were vitamin D deficient.<sup>101</sup> Among female adolescents in Finland during the winter, 62% had low vitamin D concentrations, and 13% were vitamin D deficient. Low vitamin D levels were associated with low forearm bone mineral densities.<sup>102</sup>

Vitamin D supplementation decreases bone turnover and increases bone mineral density, with measurable decreases in parathyroid hormone.<sup>42,44</sup> Most studies of vitamin D and fracture risk were done with supplemental calcium as well, making the role of vitamin D alone difficult to assess. Supplementation with vitamin D and calcium decreases bone loss and fracture rates in the elderly.<sup>45</sup> Withdrawal of vitamin D and calcium supplements appears to result in return to former bone turnover rates and no lasting benefits in terms of bone density within 2 years of discontinuation.<sup>103</sup> In one trial of vitamin D supplements only, no benefit on hip and other peripheral fractures was observed.<sup>104</sup> An earlier trial of annual vitamin D injection showed a reduction in fracture rates in the upper extremity and ribs only, a finding confined to the women in the study.<sup>152</sup>

As is the case with several other vitamins, there is evidence that host factors such as genetic polymorphisms strongly influence fracture risk and may determine the host response to vitamin D.<sup>105</sup> The *Bsml* polymorphism of the vitamin D receptor has been characterized, and the *BB* genotype is associated with a 2-fold increase in fracture risk after known risk factors are adjusted.<sup>106</sup> This polymorphism may have an effect on accumulation of bone mass during puberty and explain some ethnic differences in bone mass.<sup>107</sup>

In summary, the effects of vitamin D on bone mass are strongly supported by the literature. Dark-skinned people are at higher risk of deficiency (although at lower risk of fracture overall), as are those with little exposure to sunlight. In addition, new evidence suggests that genetic polymorphisms modify the host response to vitamin D. Given the high prevalence of vitamin D deficiency and its effects on bone mass, vitamin D supplementation at 400 IU daily can benefit a large proportion of the population. The addition of calcium may be required to realize the beneficial effects of vitamin D in preventing fracture risk.

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Vitamin C (ascorbic acid) is water soluble and acts as a cofactor in hydroxylation reactions, which are required for collagen synthesis. It is also a strong antioxidant. The current RDI for vitamin C is 60 mg. Food sources of vitamin C include citrus fruits, strawberries, melons, tomatoes, broccoli, and peppers.<sup>108</sup> Vitamin C also promotes hormone synthesis, wound healing, and iron absorption. Vitamin C deficiency results in scurvy, marked by bruising and easy bleeding. Large doses (up to 2000 mg) of vitamin C are generally well tolerated, although doses above this range may result in nausea and diarrhea.<sup>49</sup> Although one study raised some concern that high doses of vitamin C may precipitate calcium oxalate stones, <sup>109</sup> this was not observed in the only large prospective study of this relationship.<sup>110</sup>

### Coronary Heart Disease

Because of vitamin C's antioxidant effects, many studies of CHD prevention include vitamin C supplementation. In general, the evidence is unconvincing. Although several studies<sup>111-14</sup> of dietary intake have suggested a modest benefit of increased dietary vitamin C, others<sup>16-17,26,115</sup> have reported no relationship between vitamin C intake and CHD. A single observational study<sup>116</sup> of vitamin C supplementation did show a reduced risk of coronary disease, although no adjustment was made for vitamin E supplementation. Among patients with known CHD, there have been few studies on the role of vitamin C, with generally null results.<sup>117-119</sup> Of 2 prospective serum vitamin C studies, one<sup>120</sup> showed decreased cardiovascular mortality with increasing concentrations, but another<sup>121</sup> showed no relationship. A randomized trial of antioxidants for secondary prevention of CHD failed to show an association for vitamin C.<sup>119</sup> There is some thought that vitamins C and E together might yield additional benefits for preventing CHD, and some observational evidence supports this hypothesis.<sup>122</sup> Two ongoing randomized trials<sup>123-124</sup> will provide additional evidence to help resolve this question.

### Cancer

Diets high in vitamin C have been linked to lower cancer rates at several sites. A detailed review in 1995 suggested moderately strong evidence for an inverse relationship between dietary vitamin C (mainly from high fruit and vegetable intake) and cancers of the oral cavity, esophagus, and stomach.<sup>125</sup> Reports from 2 recent prospective studies<sup>120-121</sup> showed increased total cancer mortality among men (but not women) with lower serum vitamin C levels. Recent studies have also supported inverse associations between dietary vitamin C and oral cancer,<sup>126</sup> gastric cancer,<sup>127</sup> and premenopausal breast cancer,<sup>128</sup> particularly among women with a positive family history.<sup>92</sup> A meta-analysis<sup>129</sup> also found decreased breast cancer risk (20% risk reduction) associated with high dietary vitamin C intake. In contrast, a recent cohort analysis<sup>91</sup> showed no overall relationship with vitamin C intake, and a prospective plasma study<sup>130</sup> showed no associations between prediagnostic vitamin C levels and breast cancer risk.

Overall, it does not appear that vitamin C is strongly associated with cardiovascular disease. The evidence is moderately strong that diets high in vitamin C are associated with decreased risk of cancers of the oral cavity, esophagus, stomach, and breast. However, it remains unclear whether this decrease is because of high intake of fruits and vegetables (which offer a wide range of other nutrients) or whether vitamin C itself is the protective nutrient. In addition, there are no studies suggesting that vitamin C supplementation is associated with decreased cancer risk. If diets high in vitamin C do decrease cancers at multiple sites, a large proportion of the population could benefit.

# VITAMIN A

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Vitamin A refers to a family of fat-soluble compounds called retinoids, which have vitamin A activity. Retinol is the

predominant form, and 11-*cis* retinal is the active form important for vision. Approximately 50 of the more than 600 carotenoids can be converted to vitamin A. The current RDI for vitamin A is 1500 µg/L (5000 IU). Preformed vitamin A is found only in animal products, including organ meats, fish, egg yolks, and fortified milk. Retinol-binding protein binds vitamin A and regulates its uptake and metabolism. Vitamin A is critical in vision (particularly night vision), the immune response, and epithelial cell growth and repair, among other functions. Vitamin A deficiency is marked by xerophthalmia, night blindness, and increased disease susceptibility. Vitamin A toxicity results in hepatotoxicity, visual changes, and craniofacial anomalies in fetuses (beginning at doses of only 3 times the daily allowance, or 15 000 IU).<sup>49,131</sup> Two studies have also reported doubling of hip fracture rates among women with high retinol intake from food or supplements (>1.5 mg/d in one study<sup>132</sup> and 2.0 mg/d in the other<sup>133</sup>). Interest centers on its functions in cancer prevention and immunity, particularly in children in developing countries.<sup>134</sup>.

Because of its effects on the epithelium and on immunity, retinol has been investigated as a chemoprotective agent for several cancers. The relationship between retinol and bladder cancer has been studied in multiple case-control and cohort studies. A review in 1996<sup>135</sup> suggested a modest overall association, but this was mainly attributed to carotenoid intake. A recent meta-analysis<sup>136</sup> concluded that diets high in fruits and vegetables were associated with decreased risk of bladder cancer but found no association with retinol. Many groups have also examined the relationship between retinol intake and breast cancer. A review in 1994<sup>137</sup> concluded that existing evidence supported a modest inverse relationship between vitamin A and breast cancer, although it was unclear whether carotenoids or retinol was the key nutrient. Since that review, 3 prospective cohort studies have been published; 2 showed a modest decrease in risk for retinol or total vitamin A,<sup>88,92</sup> and 1 showed no association.<sup>91</sup>

There is interest in vitamin A analogues as chemopreventive agents for breast cancer. One large study<sup>138</sup> of fenretinide given to breast cancer survivors for an average of 5 years showed no decrease in secondary breast cancers. Serum studies of retinol and cancer are unreliable because serum levels are tightly controlled and do not generally reflect intake.<sup>137</sup>No other cancers have been convincingly associated with retinol intake.

Vitamin A may decrease the risk of bladder and breast cancers, but the evidence is weak. There are few studies examining gene-diet interactions with regard to vitamin A, but variation in retinol-binding protein may prove to be an important area of inquiry.

# VITAMIN K

<u>AUTHOR INFORMATION | ABSTRACT | TABLE 1. CLINICAL SITUATIONS IN WHICH VITAMIN DEFICIENCY</u> SYNDROMES OCCUR | TABLE 2. SUMMARY OF COHORT STUDIES AND RANDOMIZED TRIALS OF MAJOR VITAMIN-DISEASE RELATIONSHIPS | TABLE 3. CURRENT REFERENCE DAILY INTAKES FOR VITAMINS | FOLATE, VITAMIN B | B VITAMINS AND CHD | FOLATE AND CANCER | VITAMIN E | CAROTENOIDS | VITAMIN D | VITAMIN C | VITAMIN A | **VITAMIN K** | COMMENT | REFERENCES

Vitamin K is fat soluble and essential for normal clotting, specifically for production of prothrombin and factors VII, IX, and X and proteins C and S. It is also necessary for normal bone metabolism. The current RDI for vitamin K is 80 µg/L. Dietary sources of vitamin K include dark-green vegetables, particularly spinach, but it is also synthesized by intestinal bacteria. Vitamin K deficiency, which results in clotting disorders, occurs when either intake is inadequate or intestinal bacteria, which synthesize vitamin K, are altered. Newborn infants are also at risk because of poor placental transfer of vitamin K, lack of intestinal bacteria, and low content in breast milk. For this reason, they receive intramuscular vitamin K at birth. There is no known toxicity state for vitamin K.<sup>49</sup>

### Coagulation

In adults, the most critical role of vitamin K relates to clotting. Patients with poor intake throughout a long period are particularly at risk when taking antibiotics, which deplete intestinal bacteria. Other risk factors for vitamin K deficiency include renal or hepatic disease and malabsorption. Most patients present with poor clotting function or hemorrhage.<sup>139</sup> - <sup>140</sup> An important clinical application of vitamin K occurs in patients taking warfarin, which works by inhibiting the vitamin K–dependent  $\gamma$ -carboxylation of coagulation factors II, VII, IX, and X. Dietary variation in vitamin K consumption can lead to difficulty with warfarin dosing; anticoagulated patients should be given clear instructions on diet.<sup>141</sup> Patients who are excessively anticoagulated can be treated effectively with either oral or parenteral vitamin K.<sup>142 - 143</sup>

### Fracture Risk

There is also newer interest in the role of vitamin K in bone metabolism.  $\frac{144}{2}$  Vitamin K is a cofactor in the  $\gamma$ -carboxylation

of glutamyl residues on osteocalcin and other bone proteins,<sup>145</sup> raising the question of whether deficiency may contribute to osteoporosis.<sup>146</sup> Lower bone mineral density<sup>147</sup> and higher fracture rates<sup>148 - 149</sup> have been reported among patients with lower circulating vitamin K levels. In addition, women with low dietary vitamin K levels were at increased risk of hip fracture in 2 prospective cohorts.<sup>150 - 151</sup>

Vitamin K is essential for normal clotting. Supplementation may prevent fractures, but the evidence for this is not strong.

### COMMENT

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Although the clinical syndromes of vitamin deficiencies are unusual in Western societies, suboptimal vitamin status is not. Because suboptimal vitamin status is associated with many chronic diseases, including cardiovascular disease, cancer, and osteoporosis, it is important for physicians to identify patients with poor nutrition or other reasons for increased vitamin needs. The science of vitamin supplementation for chronic disease prevention is not well developed, and much of the evidence comes from observational studies.

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SCIENTIFIC REVIEW AND CLINICAL APPLICATIONS

### Vitamins for Chronic Disease Prevention in Adults Scientific Review

Kathleen M. Fairfield, MD, DrPH Robert H. Fletcher, MD, MSc

ITAMINS ARE ORGANIC COMpounds that cannot be synthesized by humans and therefore must be ingested to prevent metabolic disorders. Although classic vitamin deficiency syndromes such as scurvy, beriberi, and pellagra are now uncommon in Western societies, specific clinical subgroups remain at risk (TABLE 1). For example, elderly patients are particularly at risk for vitamins B12 and D deficiency, alcoholdependent individuals are at risk for folate,  $B_{\!_{D}}, B_{\!_{1,2}}$  and thiamin deficiency, and hospitalized patients are at risk for deficiencies of folate and other watersoluble vitamins. Inadequate intake or subtle deficiencies in several vitamins are risk factors for chronic diseases such as cardiovascular disease, cancer, and osteoporosis. In addition, pregnancy or alcohol use may increase vitamin requirements. At least 30% of US residents use vitamin supplements regularly, suggesting that physicians need to be informed about available preparations and pre-pared to counsel patients in this regard.1 At a minimum, patients should be queried about their usual diet and use of vitamin supplements

We searched MEDLINE for Englishlanguage articles published from 1966 through January 11, 2002, about vitamins, vitamin deficiencies and toxic-

See also p 3127 and Patient Page.

3116 JAMA, June 19, 2002-Vol 287, No. 23 (Reprinted)

Context Although vitamin defidency is encountered infrequently in developed countries, inadequate intake of several vitamins is associated with chronic disease

Objective To review the clinically important vitamins with regard to their biological effects, food sources, deficiency syndromes, potential for toxicity, and relationship to chronic disease.

Data Sources and Study Selection We searched MEDLINE for Englishlanguage articles about vitamins in relation to chronic diseases and their references published from 1966 through January 11, 2002

Data Extraction We reviewed articles jointly for the most clinically important information, emphasizing randomized trials where available

Data Synthesis Our review of 9 vitamins showed that elderly people, vegans, alcoholdependent individuals, and patients with malabsorption are at higher risk of inad-equate intake or absorption of several vitamins. Excessive doses of vitamin A during early pregnancy and fat-soluble vitamins taken anytime may result in adverse outcomes. Inadequate folate status is associated with neural tube defect and some cancers. Folate and vitamins  $B_{\alpha}$  and  $B_{12}$  are required for homocysteine metabolism and are associated with coronary heart disease risk. Vitamin E and lycopene may decrease the risk of prostate cancer. Vitamin D is associated with decreased occurrence of fractionary fractionary decreased occurrence of the second secon tures when taken with calcium

Conclusions Some groups of patients are at higher risk for vitamin deficiency and suboptimal vitamin status. Many physicians may be unaware of common food sources of vitamins or unsure which vitamins they should recommend for their patients. Vitamin excess is possible with supplementation, particularly for fat-soluble vitamins. Inadequate intake of several vitamins has been linked to chronic diseases, including coronary heart disease, cancer, and osteoporosis. JAMA 2002;287:3116-3126

ity, and specific vitamins in relation to chronic diseases. We paid specific attention to cardiovascular disease, com-

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prostate), neural tube defect, and osteoporosis. We reviewed reference lists from retrieved articles for additional mon cancers (lung, colon, breast, and pertinent information. The coauthors

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CLINICIAN'S CORNER

# Vitamins for Chronic Disease Prevention in Adults Clinical Applications <mark>FREE</mark>

Robert H. Fletcher, MD, MSc; Kathleen M. Fairfield, MD, DrPH *JAMA*. 2002;287(23):3127-3129. doi:10.1001/jama.287.23.3127

<u>Article</u> <u>References</u>

# **AUTHOR INFORMATION**

AUTHOR INFORMATION | ABSTRACT | SUBOPTIMAL AMOUNTS OF VITAMINS | CORRECTING SUBOPTIMAL VITAMIN LEVELS | TESTING | RECOMMENDATIONS | ADDITIONAL INFORMATION ABOUT VITAMINS | REFERENCES

### ABSTRACT

#### <u>AUTHOR INFORMATION | ABSTRACT | SUBOPTIMAL AMOUNTS OF VITAMINS | CORRECTING</u> <u>SUBOPTIMAL VITAMIN LEVELS | TESTING | RECOMMENDATIONS | ADDITIONAL INFORMATION ABOUT</u> <u>VITAMINS | REFERENCES</u>

Vitamin deficiency syndromes such as scurvy and beriberi are uncommon in Western societies. However, suboptimal intake of some vitamins, above levels causing classic vitamin deficiency, is a risk factor for chronic diseases and common in the general population, especially the elderly. Suboptimal folic acid levels, along with suboptimal levels of vitamins B<sub>6</sub> and

B<sub>12</sub>, are a risk factor for cardiovascular disease, neural tube defects, and colon and breast cancer; low levels of vitamin D

contribute to osteopenia and fractures; and low levels of the antioxidant vitamins (vitamins A, E, and C) may increase risk for several chronic diseases. Most people do not consume an optimal amount of all vitamins by diet alone. Pending strong evidence of effectiveness from randomized trials, it appears prudent for all adults to take vitamin supplements. The evidence base for tailoring the contents of multivitamins to specific characteristics of patients such as age, sex, and physical activity and for testing vitamin levels to guide specific supplementation practices is limited. Physicians should make specific efforts to learn about their patients' use of vitamins to ensure that they are taking vitamins they should, such as folate supplementation for women in the childbearing years, and avoiding dangerous practices such as high doses of vitamin A during pregnancy or massive doses of fat-soluble vitamins at any age. In the absence of specific predisposing conditions, a usual North American diet is sufficient to prevent overt vitamin deficiency diseases such as scurvy, pellagra, and beriberi. However, insufficient vitamin intake is apparently a cause of chronic diseases. Recent evidence has shown that suboptimal levels of vitamins, even well above those causing deficiency syndromes, are risk factors for chronic diseases such as cardiovascular disease, cancer, and osteoporosis. A large proportion of the general population is apparently at increased risk for this reason.

## SUBOPTIMAL AMOUNTS OF VITAMINS

### AUTHOR INFORMATION | ABSTRACT | SUBOPTIMAL AMOUNTS OF VITAMINS | CORRECTING SUBOPTIMAL VITAMIN LEVELS | TESTING | RECOMMENDATIONS | ADDITIONAL INFORMATION ABOUT VITAMINS | REFERENCES

Suboptimal levels of a vitamin can be defined as those associated with abnormalities of metabolism that can be corrected by supplementation with that vitamin. For example, many people in the general population have serum homocysteine levels from 1.62 to 2.03 mg/L (12-15  $\mu$ mol/L),<sup>1</sup> which fall to baseline levels of 1.08 to 1.35 mg/L (8-10  $\mu$ mol/L) after a few weeks of supplementation with folate, along with vitamins B<sub>12</sub> and B<sub>6</sub>.<sup>2</sup> Similarly, in many elderly people, methylmalonic

acid levels fall with vitamin  $B_{12}$  supplementation,<sup>2</sup> and elevated levels of parathyroid hormone fall with vitamin D

supplementation.<sup>3</sup> Measurements of vitamin levels in blood, serum, or red blood cells, at least with current reference points for abnormality, are not a reliable guide to this form of deficiency; in one study,<sup>2</sup> supplementation substantially reduced serum homocysteine levels in elderly patients with normal serum folate concentrations.

For some vitamins, the concept of suboptimal levels is also supported by randomized trial evidence that supplementation reduces the rate of clinical events. The research evidence is conclusive that folate during the first trimester of pregnancy reduces the risk of neural tube defects in women at increased risk.<sup>4</sup> Similarly, vitamin D supplementation, along with calcium, reduces the risk of fractures in elderly women with osteoporosis.<sup>5</sup>

The high prevalence of suboptimal vitamin levels implies that the usual US diet provides an insufficient amount of these vitamins. Fruits and vegetables are the main dietary source of many vitamins, and health experts have long recommended at least 5 daily servings. A recent survey showed that only 20% to 30% of the population actually meet this goal.<sup>6</sup> Although vitamin D is added to milk, many people (especially the elderly) do not consume enough dairy products to get a sufficient amount of vitamin D.<sup>3,7-8</sup> Folate supplementation of cereal products is sufficient to raise folate intake only by about 100 µg, so many people do not meet the goal of 400 µg/d.<sup>9</sup> Food preparation may decrease the activity for some vitamins; for example, keeping food hot longer than 2 hours results in a more than 10% loss of vitamin C, folate, and vitamin B<sub>6</sub>.<sup>10</sup>

Vitamins are also lost during chilling, storage, and reheating, including more than 30% of vitamin C and folate.<sup>10</sup> Alcohol consumption increases folate requirements,<sup>11</sup> and aging is associated with decreased absorption of some vitamins such as  $B_{12}$ .

# **CORRECTING SUBOPTIMAL VITAMIN LEVELS**

### <u>AUTHOR INFORMATION | ABSTRACT | SUBOPTIMAL AMOUNTS OF VITAMINS | CORRECTING</u> SUBOPTIMAL VITAMIN LEVELS | TESTING | RECOMMENDATIONS | ADDITIONAL INFORMATION ABOUT VITAMINS | REFERENCES

Three options exist for correcting suboptimal vitamin intake. First, physicians could counsel patients to improve their diet. This approach would be relatively inefficient if the only goal were to increase vitamin consumption because patients would have to be counseled individually, and it is difficult to get individual patients to change their diets. Nevertheless, dietary change is a central component of an overall program of preventive care.<sup>12</sup> Foods contain thousands of compounds that may be biologically active, including hundreds of natural antioxidants, carotenoids, and flavonoids. For these reasons, vitamin supplementation is not an adequate substitute for a good diet.

A second option is to add vitamins to generally consumed foods. The United States has been adding vitamin D to milk and some other dairy products since the 1930s because of the high prevalence of rickets and osteomalacia in northern climates at that time. Beginning in 1996, folate has been added to cereals to reduce the rate of neural tube defects. However, this approach is limited by popular mistrust of adding chemicals to food.

A third option is for individuals to take vitamin supplements. All major pharmacies carry their own brands of multivitamins as well as a variety of other brand name and generic multivitamins. The contents of basic multivitamins are remarkably

similar across brands, with each having at least 100% of the daily value for nearly all vitamins (with the exception of vitamin K). In addition to vitamins, so-called multivitamins often contain other food supplements such as minerals and herbs. The amount of calcium in multivitamins is typically between 40 and 160 mg, well below the generally recommended dose of 1000 to 1500 mg/d,<sup>13</sup> so one cannot depend on multivitamins for meeting calcium needs. Most multivitamins contain iron, whose supplementation may not be advisable for men and nonmenstruating women, given the high prevalence of the gene for hemochromatosis.

The cost for brand-name multivitamins may be around \$20 to \$30 annually, and some special formulations may cost a great deal more. However, one can easily buy large quantities (eg, 250-500 pills) of generic multivitamins for around \$10 annually. We are aware of no evidence that the various multivitamins differ in bioavailability because of the way they are formulated. Patients can buy individual vitamins at an even lower price, which may make sense for women in the childbearing years, for whom folate supplementation might cost only \$5 to \$10 annually.

Special multivitamins are sold for subgroups of the population such as active men, perimenopausal women, and the elderly. The Internet and health-food stores are filled with promotions for these special-purpose multivitamins, which are often costly. The only evidence-based arguments for taking more than a common multivitamin once a day pertain to the elderly and women who might become pregnant. The recommended intake for vitamins B<sub>12</sub> and D in the elderly is closer to 2

times the dietary reference intake. For women who might become pregnant, folate at 800  $\mu$ g/d is appropriate.

Some vitamins, such as thiamin, riboflavin, and niacin, have received little mention in this review. Although by definition severe deficiency of these vitamins is associated with disease, they have so far not been associated with chronic diseases. The absence of evidence that these vitamins are associated with chronic diseases might be because those associations do not exist, ordinary diets provide sufficient amounts to prevent chronic disease, or the research has not yet been done to discover these relationships.

## TESTING

### AUTHOR INFORMATION | ABSTRACT | SUBOPTIMAL AMOUNTS OF VITAMINS | CORRECTING SUBOPTIMAL VITAMIN LEVELS | TESTING | RECOMMENDATIONS | ADDITIONAL INFORMATION ABOUT VITAMINS | REFERENCES

Tests for vitamin levels in blood, serum, or red blood cells are now offered by commercial laboratories, as are tests for substances such as homocysteine that mark abnormal vitamin-related metabolism.<sup>14</sup> The availability of these tests raises these questions: Would this additional information lead to better preventive or therapeutic interventions than might be offered without the test? If so, what kind of patients would benefit?

It is certainly possible that some individuals, because of their diets or genetic polymorphisms, have unusual vitamin needs. Many of these people can be detected by a simple review of their medical problems, including alcoholism. The *MTHFR* polymorphism, which is associated with low folate levels and perhaps increased rates of cardiovascular disease, is the best studied. The abnormal *MTHFR* gene occurs in 5% to 15% of the population<sup>15</sup> and might have effects on diseases related to folate deficiency. The *MTHFR* gene would be detected only by specific testing not yet commercially available. However, research into the metabolic and clinical effects of these disorders is in its infancy and not strong enough to confidently guide tailored supplementation programs. Therefore, we believe that testing individuals who do not have a well-recognized indication is premature.

# **RECOMMENDATIONS**

### AUTHOR INFORMATION | <u>ABSTRACT</u> | <u>SUBOPTIMAL AMOUNTS OF VITAMINS</u> | <u>CORRECTING</u> <u>SUBOPTIMAL VITAMIN LEVELS</u> | <u>TESTING</u> | <u>**RECOMMENDATIONS** | <u>ADDITIONAL INFORMATION ABOUT</u> <u>VITAMINS | REFERENCES</u></u>

We recommend that all adults take one multivitamin daily. This practice is justified mainly by the known and suspected benefits of supplemental folate and vitamins B<sub>12</sub>, B<sub>6</sub>, and D in preventing cardiovascular disease, cancer, and osteoporosis

and because multivitamins at that dose are safe and inexpensive.<sup>16</sup> It is reasonable to consider a dose of 2 ordinary multivitamins daily in the elderly, specifically because of the high prevalence of suboptimal vitamin B<sub>12</sub> and D intake.

However, it might be safer to supplement 1 multivitamin with additional vitamins B<sub>12</sub> and D, taken separately, given the

possibility that increased vitamin A intake might increase the risk of hip fracture<sup>17</sup> and that the iron in most multivitamins may increase the risk of hemochromatosis in some people. The increased folate requirement in people with high alcohol intake can be met with 1 multivitamin daily or folic acid supplementation alone. For women attempting to conceive, a

multivitamin plus folate at 400  $\mu$ g/d is appropriate, given evidence of additional benefit with higher folate levels.<sup>18</sup> We recommend multivitamins, rather than individual vitamins, because multivitamins are simpler to take and cheaper than the individual vitamins taken separately and because a large proportion of the population needs supplements of more than one vitamin.

Physicians often do not ask about vitamin use. Patients may not volunteer information about their vitamin use, fearing that the physician would disapprove of unconventional use of vitamins. Therefore, physicians should specifically ask about vitamin use with 2 goals in mind. First, they should be sure that patients know about the vitamin supplements they clearly should be taking, such as folate during the childbearing years. Second, physicians should be sure the patient is not taking vitamins in harmful doses, such as very large doses of vitamin D or even moderate doses of vitamin A during the first trimester of pregnancy. Within these rather broad limits, we believe that physicians should be interested and not directive, even when it seems the patient has unfounded beliefs or apparently unhelpful practices. In this way, physicians can avoid incurring a substantial chance of losing access to important information about patients' vitamin use.

# **ADDITIONAL INFORMATION ABOUT VITAMINS**

### AUTHOR INFORMATION | ABSTRACT | SUBOPTIMAL AMOUNTS OF VITAMINS | CORRECTING SUBOPTIMAL VITAMIN LEVELS | TESTING | RECOMMENDATIONS | ADDITIONAL INFORMATION ABOUT VITAMINS | REFERENCES

The evidence base for the clinical effects of vitamins is increasing rapidly. For physicians to keep up with new developments, there is no good alternative to electronic sources. The World Wide Web includes a vast array of information on vitamins, most of it promotional and self-serving. Physicians can find the most updated and credible information at the National Institutes of Health Web site (*http://www.cc.nih.gov/ccc/supplements*). In addition, Tufts University maintains an excellent nutrition Web site, as well as a Nutrition Navigator that provides quality ratings for other nutrition Web sites (*http://www.navigator.tufts.edu*). This site includes appropriate information for patients and health care professionals. Some textbooks and Web publications are continually updated as new research findings are published. The Institute of Medicine has published a series of books on this subject as well, with extensive review of the existing literature at the date of publication.<sup>19-23</sup>

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SCIENTIFIC REVIEW AND CLINICAL APPLICATIONS

### Vitamins for Chronic Disease Prevention in Adults Clinical Applications

Robert H. Fletcher, MD, MSc Kathleen M. Fairfield, MD, DrPH

N THE ABSENCE OF SPECIFIC PREDISposing conditions, a usual North American diet is sufficient to prevent overt vitamin deficiency diseases such as scurvy, pellagra, and beriberi. However, insufficient vitamin intake is apparently a cause of chronic diseases. Recent evidence has shown that suboptimal levels of vitamins, even well above those causing deficiency syndromes, are risk factors for chronic diseases such as cardiovascular disease, cancer, and osteoporosis. A large proportion of the general population is apparently at increased risk for this reason.

#### Suboptimal Amounts of Vitamins

Suboptimal levels of a vitamin can be defined as those associated with abnormalities of metabolism that can be corrected by supplementation with that vitamin. For example, many people in the general population have serum homocysteine levels from 1.62 to 2.03 mg/L (12-15 µmol/L),1 which fall to baseline levels of 1.08 to 1.35 mg/L (8-10 µmol/L) after a few weeks of supplementation with folate, along with vitamins B12 and B6.2 Similarly, in many elderly people, methylmalonic acid levels fall with vitamin B12 supplementation,2 and elevated levels of parathyroid hormone fall with vitamin D supplementation.3 Measurements of

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Vitamin deficiency syndromes such as scurvy and beriberi are uncommon in Western societies. However, suboptimal intake of some vitamins, above levels causing classic vitamin deficiency, is a risk factor for chronic diseases and common in the general population, especially the elderly. Suboptimal folic acid levels, along with suboptimal levels of vitamins  $B_6$  and  $B_{12}$ , are a risk factor for cardiovascular disease, neural tube defects, and colon and breast cancer; low levels of vitamin D contribute to osteopenia and fractures; and low levels of the antioxidant vitamins (vitamins A, E, and C) may increase risk for several chronic diseases. Most people do not consume an optimal amount of all vitamins by diet alone. Pending strong evidence of effectiveness from randomized trials, it appears prudent for all adults to take vitamin supplements. The evidence base for tailoring the contents of multivitamins to specific characteristics of patients such as age, sex, and physical activity and for testing vitamin levels to guide specific supplementation practices is limited. Physicians should make specific efforts to learn about their patients' use of vitamins to ensure that they are taking vitamins they should, such as folate supplementation for women in the childbearing years, and avoiding dangerous practices such as high doses of vitamin A during pregnancy or massive doses of fat-soluble vitamins at any age.

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vitamin levels in blood, serum, or red cysteine levels in elderly patients with blood cells, at least with current refer- normal serum folate concentrations. ence points for abnormality, are not a reliable guide to this form of defi- suboptimal levels is also supported by ciency; in one study,2 supplementation substantially reduced serum homo-

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